



Docket: 58647.000002

#2  
BT  
10-23-01

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Bret A. Evans, et al.

Serial No.: 09/883,193

Filed: June 19, 2001

Group Art Unit: 2161

Examiner: Unassigned

RECEIVED  
SEP 24 2001  
Technology Center 2100For: SYSTEM AND METHOD FOR FACILITATING INTERACTION WITH A  
FINANCIAL SERVICEINFORMATION DISCLOSURE STATEMENTAssistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In accordance with 37 C.F.R. §§ 1.56, 1.97, and 1.98, Applicants respectfully request consideration of the references listed on the attached Form PTO/SB/08A. Copies of the references listed on the Form PTO/SB/08A are enclosed.

In order to fully discharge its duty of disclosure to the Office, Applicants would also like to inform the Examiner of several instances of public disclosure relating to general aspects of "digital dashboards." These public disclosures include the following: (1) Microsoft Corporation: Digital Dashboard Business Process Assessment Guide, which was believed to be available at <http://www.microsoft.com/business/digitaldashboard/DDBPAG.asp>, in the May 2000 timeframe; (2) Praxa Limited: Overview of Corporate ECG and CEO Integrated View Dashboards, which was believed to be available at <http://www.digitaldashboard.com.au/>, sometime in 2000; and (3) Intuit, Inc.: Quicken.Com Debuts Personalized Financial Dashboard and Tools, Breaking New Ground in e-finance, which was believed to be available at



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[http://www.intuit.com/corporate/press\\_releases/111799b.html](http://www.intuit.com/corporate/press_releases/111799b.html), sometime in 2000.


Applicants has been unable to locate copies of these references, but provides the above information in order to fully comply with its duty of disclosure. In disclosing these references, Applicant is not admitting these references constitute prior art as against the present application or that these references are material to patentability.

Applicants respectfully request that the Examiner consider the references cited on the Form PTO/SB/08A and that the Examiner indicate that the references have been considered in this application by returning a copy of the Form PTO/SB/08A with the Examiner's initials in the left column per MPEP 609.

This IDS is submitted prior to the issuance of a first Office Action on the merits; therefore, it is believed that no fees are required in connection therewith. If any fees are necessitated by the filing of this Information Disclosure Statement, please charge the undersigned's Deposit Account No. 50-0206.

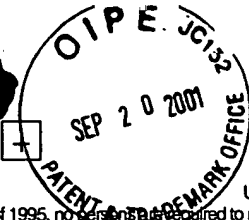
Respectfully submitted,  
HUNTON & WILLIAMS

Date: September 20, 2001

  
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PTO/SB/08A (08-00)

Approved for use through 10/31/2002. OMB 0651-0031  
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Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 1

### Complete if Known

Application Number	09/883,193
Filing Date	06-19-2001
First Named Inventor	Bret A. Evans et al.
Group Art Unit	2161
Examiner Name	Unassigned
Attorney Docket Number	58647.000002

### U.S. PATENT DOCUMENTS

Examiner Initials *	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
	U1	5,291,587		Kodosky et al.	03-01-1994	
	U2	5,347,628		Brewer et al.	09-13-1994	
	U3	5,523,942		Tyler et al.	06-04-1996	
	U4	5,602,997		Carpenter et al.	02-11-1997	
	U5	5,754,174		Carpenter et al.	05-19-1998	
	U6	5,790,120		Lozares et al.	08-04-1998	
	U7	5,956,691		Powers	09-21-1999	
	U8	6,064,375		Velez et al.	05-16-2000	
	U9	6,092,050		Lungren et al.	07-18-2000	
	U10	6,122,603		Budike, Jr.	09-19-2000	

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### FOREIGN PATENT DOCUMENTS

Examiner Initials *	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sub>6</sub>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
	F1							

### NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	P1		

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.